



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 7100

<b>SERIAL NUMBER</b> 09/848,931	<b>FILING DATE</b> 05/04/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> <del>2163</del> 3628	<b>ATTORNEY DOCKET NO.</b> 10251-037
<b>APPLICANTS</b> Robert J. Feilbogen, Chappaqua, NY; Paul Varnish, London, UNITED KINGDOM; <i>Yes nn</i> <b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/202,381 05/04/2000 AND CLAIMS BENEFIT OF 60/203,324 05/11/2000 AND CLAIMS BENEFIT OF 60/207,570 05/26/2000 <i>None nn</i> <b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 06/27/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance <i>nn</i> Verified and Acknowledged <i>nn</i> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 16	<b>TOTAL CLAIMS</b> 51
			<b>INDEPENDENT CLAIMS</b> 3	
<b>ADDRESS</b> Proskauer Rose LLP Patent Department 1585 Broadway New York, NY 10036				
<b>TITLE</b> Method and system for initiating and clearing trades				
<b>FILING FEE RECEIVED</b> 1268	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	